

XQUERY

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TREE MODEL OF XML DATA

- Query and transformation languages are based on a **tree model** of XML data
- An XML document is modeled as a tree, with **nodes** corresponding to elements and attributes
 - Element nodes have child nodes, which can be attributes or subelements
 - Text in an element is modeled as a text node child of the element
 - Children of a node are ordered according to their order in the XML document
 - Element and attribute nodes (except for the root node) have a single parent, which is an element node

```
<university-3>
  <department dept_name="Comp. Sci.">
    <building> Taylor </building>
    <budget> 100000 </budget>
  </department>
  <department dept_name="Biology">
    <building> Watson </building>
    <budget> 90000 </budget>
  </department>
  <course course_id="CS-101" dept_name="Comp. Sci"
            instructors="10101 83821">
    <title> Intro. to Computer Science </title>
    <credits> 4 </credits>
  </course>
  <course course_id="BIO-301" dept_name="Biology"
            instructors="76766">
    <title> Genetics </title>
    <credits> 4 </credits>
  </course>
  <instructor IID="10101" dept_name="Comp. Sci.">
    <name> Srinivasan </name>
    <salary> 65000 </salary>
  </instructor>
  <instructor IID="83821" dept_name="Comp. Sci.">
    <name> Brandt </name>
    <salary> 72000 </salary>
  </instructor>
  <instructor IID="76766" dept_name="Biology">
    <name> Crick </name>
    <salary> 72000 </salary>
  </instructor>
</university-3>
```

XPATH

- XPath is used to address (select) parts of documents using **path expressions**
- A path expression is a sequence of steps separated by “/”
 - Think of file names in a directory hierarchy
- Result of path expression: set of values that along with their containing elements/attributes match the specified path
- E.g. `/university-3/instructor/name` evaluated on the university-3 data returns
 - `<name>Srinivasan</name>`
 - `<name>Brandt</name>`
- E.g. `/university-3/instructor/name/text()` returns the same names, but without the enclosing tags

XPATH (CONT.)

- The initial “/” denotes root of the document (above the top-level tag)
- Path expressions are evaluated left to right
 - Each step operates on the set of instances produced by the previous step
- Selection predicates may follow any step in a path, in []
 - E.g. `/university-3/course[credits >= 4]`
 - returns course elements with credits >= 4
 - `/university-3/course[credits]` returns course elements containing a credits subelement
- Attributes are accessed using “@”
 - E.g. `/university-3/course[credits >= 4]/@course_id`
 - returns the course identifiers of courses with credits >= 4
 - IDREF attributes are not dereferenced automatically (more on this later)

FUNCTIONS IN XPATH

- XPath provides several functions
 - The function `count()` at the end of a path counts the number of elements in the set generated by the path
 - E.g. `/university-2/instructor[count(./teaches/course)> 2]`
 - Returns instructors teaching more than 2 courses (on university-2 schema)
 - Also function for testing position (1, 2, ..) of node w.r.t. siblings
 - Boolean connectives `and` and `or` and function `not()` can be used in predicates
 - IDREFs can be referenced using function `id()`
 - `id()` can also be applied to sets of references such as IDREFS and even to strings containing multiple references separated by blanks
 - E.g. `/university-3/course/id(@dept_name)`
 - returns all department elements referred to from the `dept_name` attribute of course elements.

MORE XPATH FEATURES

- Operator “|” used to implement union
 - E.g. `/university-3/course[@dept name=“Comp. Sci”] | /university-3/course[@dept name=“Biology”]`
 - Gives union of Comp. Sci. and Biology courses
 - However, “|” cannot be nested inside other operators.
- “//” can be used to skip multiple levels of nodes
 - E.g. `/university-3//name`
 - finds any `name` element *anywhere* under the `/university-3` element, regardless of the element in which it is contained.
- A step in the path can go to parents, siblings, ancestors and descendants of the nodes generated by the previous step, not just to the children
 - “//”, described above, is a short form for specifying “all descendants”
 - “..” specifies the parent.
- `doc(name)` returns the root of a named document

XQUERY

- XQuery is a general purpose query language for XML data
- Currently being standardized by the World Wide Web Consortium (W3C)
 - The textbook description is based on a January 2005 draft of the standard. The final version may differ, but major features likely to stay unchanged.
- XQuery is derived from the Quilt query language, which itself borrows from SQL, XQL and XML-QL
- XQuery uses a **for ... let ... where ... order by ...return ...** syntax
 - for** ⇔ SQL **from**
 - where** ⇔ SQL **where**
 - order by** ⇔ SQL **order by**
 - return** ⇔ SQL **select**
 - let** allows temporary variables, and has no equivalent in SQL

FLWOR SYNTAX IN XQUERY

- **For** clause uses XPath expressions, and variable **in** for clause ranges over values in the set returned by XPath
- Simple FLWOR expression in XQuery
 - find all courses with credits > 3, with each result enclosed in an <course_id> .. </course_id> tag

```
for $x in /university-3/course
let $courseid := $x/@course_id
where $x/credits > 3
return <course_id> { $courseid } </course id>
```
 - Items in the **return** clause are XML text unless enclosed in {}, in which case they are evaluated
- Let clause not really needed in this query, and selection can be done In XPath. Query can be written as:

```
for $x in /university-3/course[credits > 3]
return <course_id> { $x/@course_id } </course_id>
```
- Alternative notation for constructing elements:

```
return element course_id { element $x/@course_id }
```

JOINS

- Joins are specified in a manner very similar to SQL

```
for $c in /university/course,  
    $i in /university/instructor,  
    $t in /university/teaches  
where $c/course_id= $t/course id and $t/IID = $i/IID  
return <course_instructor> { $c $i } </course_instructor>
```

- The same query can be expressed with the selections specified as XPath selections:

```
for $c in /university/course,  
    $i in /university/instructor,  
    $t in /university/teaches[ $c/course_id= $t/course_id  
                                and $t/IID = $i/IID]  
return <course_instructor> { $c $i } </course_instructor>
```

NESTED QUERIES

- The following query converts data from the flat structure for university information into the nested structure used in **university-l**

```
<university-l>
{  for $d in /university/department
   return <department>
      { $d/* }
      { for $c in /university/course[dept name = $d/dept name]
        return $c }
  </department>
}
{  for $i in /university/instructor
   return <instructor>
      { $i/* }
      { for $c in /university/teaches[IID = $i/IID]
        return $c/course id }
  </instructor>
}
</university-l>
```

- **\$d/*** denotes all the children of the node to which **\$d** is bound, without the enclosing top-level tag

GROUPING AND AGGREGATION

- Nested queries are used for grouping

```
for $d in /university/department
return
  <department-total-salary>
    <dept_name> { $d/dept name } </dept_name>
    <total_salary> { fn:sum(
      for $i in /university/instructor[dept_name = $d/dept_name]
      return $i/salary
    ) }
    </total_salary>
  </department-total-salary>
```

SORTING IN XQUERY

- The **order by** clause can be used at the end of any expression. E.g. to return instructors sorted by name

```
for $i in /university/instructor
order by $i/name
return <instructor> { $i/* } </instructor>
```

- Use **order by \$i/name descending** to sort in descending order
- Can sort at multiple levels of nesting (sort departments by dept_name, and by courses sorted to course_id within each department)

```
<university-l > {
for $d in /university/department
order by $d/dept name
return
  <department>
    { $d/* }
    { for $c in /university/course[dept name = $d/dept name]
      order by $c/course id
      return <course> { $c/* } </course> }
  </department>
} </university-l >
```

FUNCTIONS AND OTHER XQUERY FEATURES

- User defined functions with the type system of XMLSchema
declare function local:dept_courses(\$iid as xs:string)
as element(course)*

```
{  
  for $i in /university/instructor[IID = $iid],  
    $c in /university/courses[dept_name = $i/dept_name]  
  return $c  
}
```

- Types are optional for function parameters and return values
- The * (as in decimal*) indicates a sequence of values of that type
- Universal and existential quantification in **where** clause predicates
 - **some** \$e in *path* **satisfies** *P*
 - **every** \$e in *path* **satisfies** *P*
 - Add **fn:exists(\$e)** to prevent empty \$e from satisfying **every** clause
- XQuery also supports If-then-else clauses

○ For example, to find departments where every instructor has a salary greater than \$50,000, we can use the following query:

○ **for \$d in /university/department**

where every \$i in /university/instructor[dept name=\$d/dept name] satisfies \$i/salary > 50000

return \$d

○ Note, however, that if a department has no instructor, it will trivially satisfy the

○ above condition. An extra clause:

fn:exists(/university/instructor[dept name=\$d/dept name])